

#3

PATENT
BO9-99-027
0036.0042

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	Examiner: --
Nagda, et al.)	
Serial No.: 09/388,261)	Art Unit: 2761
Filed: August 31, 1999)	
For: A WORKFLOW MANAGEMENT SYSTEM)	
FOR GENERATING OUTPUT MATERIAL)	
BASED ON CUSTOMER INPUT)	

TRANSMITTAL OF MISSING PARTS

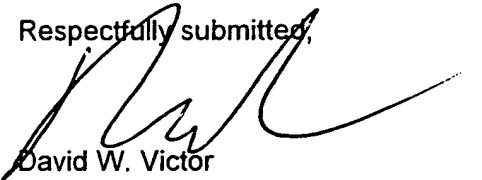
Box MISSING PARTS
Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sirs:

Enclosed herewith the Declaration for the above-identified application, per the Patent Office Notice of Missing parts dated September 20, 1999 (copy enclosed). Enclosed is a check in the amount of \$130 in payment of the Surcharge Fee.

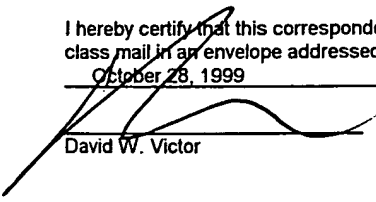
Please charge any additional fees, or credit any overpayments, to Deposit Account No. 50-0585. A duplicate of this petition is enclosed.

Respectfully submitted,


David W. Victor
Reg. No. 39,867
KONRAD, RAYNES & VICTOR, LLP
1180 S. Beverly Dr. #501
Los Angeles, CA 90035
(310) 556-7983

Dated: October 28, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on
October 28, 1999



David W. Victor

10/28/99
Date



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/388,261	08/31/99	NAGDA	J B09-99-027

0212/0920

DAVID VICTOR ESQ
1180 SOUTH BEVERLY DRIVE STE 501
LOS ANGELES CA 90035

NOT ASSIGNED

2761

DATE MAILED: 09/20/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$55.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.



Customer Service Center

11-05-1999 RCVD

S.N. 09/388,261 File No. 36.42 BO9-99-027 Date Mailed Oct 28, 1999 By: DWV/meh
Title: A WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT MATERIAL BASED
ON CUSTOMER INPUT

Client: International Business Machines Corporation

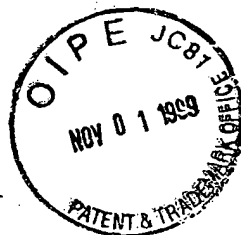
The Following, due November 20, 1999 in the U.S. Patent & Trademark Office was received in the
Patent & Trademark Office on the date stamped hereon:

☐ pages of Application for Patent Including
☐ Pages Spec. ☐ Page Abstract ☐ Claims
☒ Assign; Ck. No. ☐ for \$ 40
☐ Declaration, Affidavit of Oath (2 Page(s))
(Unsigned)
☐ PCT Application Including
☐ Pages Spec. ☐ Page Abstract ☐ Claims

☐ Drawings; # of Sheets ☐
☐ Formal ☐ Informal
☐ Verified Statement
☐ IDS; ☐ References

☐ Petition for Extension of Time
☒ Transmittal of Missing Parts
(inc. copy of Notice)

☐ Preliminary Amendment
☐ Amendment
☐ Certified Copy; ☐ of Docs.
☐ Letter of Transmittal
☐ Letter Re ☐
☐ Petition for ☐
☒ Ck. No. ☐ for \$ 130
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☐ Issue Fee Transmittal
☐ Advance soft copy order for ☐
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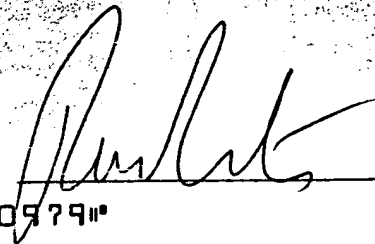
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MEMO **36.42 Assignment**

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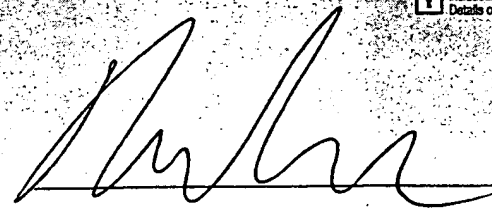
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MEMO **36.42 Missing Parts**

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